

MEMORANDUM OF TRANSMITTAL



To: Rosa B. Akhtarkhavari, Deputy Chief Financial Officer

From: George J. McGowan, CPA
Director, Office of Audit Services and Management Support

Date: November 14, 2022

Subject: Follow-up Review of Active Directory Security Controls (Report No. 23-01)

Attached is a summary of the status of recommendations as determined from our follow-up review of the Active Directory Security Controls (Report No. 21-07), issued June 7, 2021.

This review consisted of inquiries of City personnel and examinations of supporting documentation. It is substantially less in scope than an audit. The evidence obtained provided a reasonable basis for our conclusions; however, had an audit been performed, other matters may have come to our attention that would have been reported to you and our conclusions may have needed to be modified.

We are pleased to report that the two recommendations contained in the original report are implemented.

We would like to thank the management of the Technology Management Division for their cooperation during this follow-up review.

GJM

Attachment

c: The Honorable Buddy Dyer, Mayor
Jody Litchford, Deputy City Attorney
Christopher McCullion, Chief Financial Officer
Michelle McCrimmon, Deputy Chief Financial Officer

Active Directory Security Controls

#	Recommendations	Concur	Partially Concur	Do Not Concur	Original Management Action Plan	Original Target Date	Implementation Status	
							Current Status	Comments
1	We recommend that IT schedule a review of "super user" access at least annually and report the results to the CIO.	✓			Information Security to review active directory accounts bi-annually to verify proper levels of access are maintained. Reviews will take place at set periods (6 months apart) scheduled with Information Security Team and findings reported to the CIO for further review.	Every 6 Months	Implemented	The IT Security Manager reviews the bi-annual audits with the IT Security team and enforces any actionable changes that may be needed. Once completed, the results are provided to the CIO for further review.
2	We recommend that IT schedule a review of administrative groups at least annually and report the results to the CIO.	✓			Information Security to review active directory groups bi-annually to verify any redundancies and maintain/remove permissions for users with access. Reviews will take place at set periods (6 months apart) scheduled with Information Security Team and findings reported to the CIO for further review.	Every 6 Months	Implemented	The IT Security Manager reviews the bi-annual audits with the IT Security team and enforces any actionable changes that may be needed. Once completed, the results are provided to the CIO for further review.